

ComplianceMAX Financial

A Leading San Diego, CA
Based Technology Company

Tiburon Written Research
April 29, 2019



TIBURON STRATEGIC ADVISORS

Industry Leading Think Tank, Advisor, and Investor in
Wealth & Investment Management (and Related WealthTech) Firms

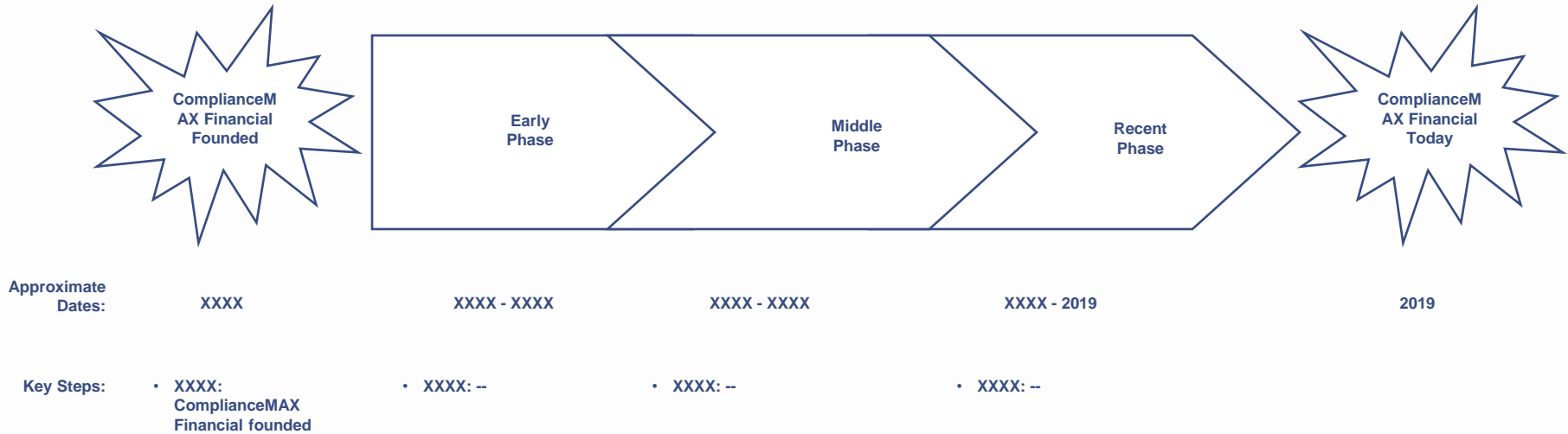


Comments

- **Founded in XXXX**
- **Based in San Diego, CA**
- **Web Site: www.ComplianceMax.Com**

ComplianceMAX Financial was Founded in XXXX and Has Subsequently Evolved through its Early, Middle, & Recent Phases

ComplianceMAX Financial History



ComplianceMAX Financial was Founded by XXXX



Comments

- **Founded in XXXX**
- **Based in San Diego, CA**

ComplianceMAX Financial is Not a Frequent Advertiser

Advertising

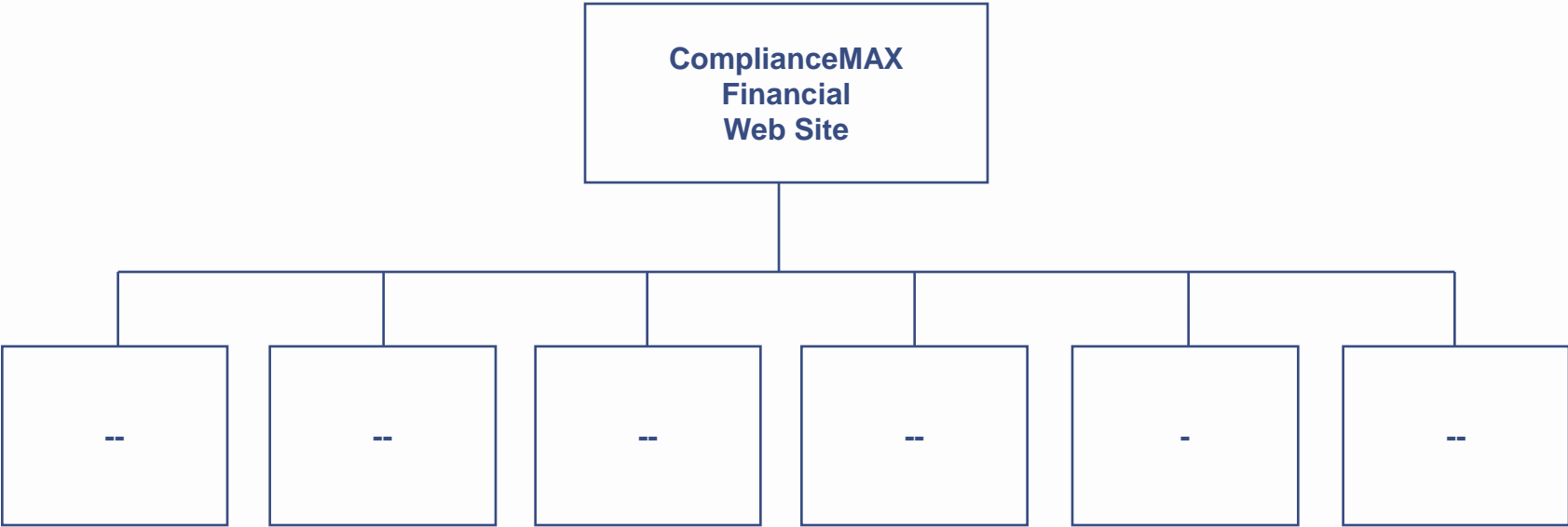
Comments

- --



ComplianceMAX Financial Has a Web Site with XXXX Core Sections, Including

ComplianceMAX Financial Web Site



Source: Tiburon Research & Analysis

ComplianceMAX Financial is Not a Frequent Acquirer

Acquisitions

Comments

- --



ComplianceMAX Financial Has a Charitable Giving Program

Charitable Giving

Comments

- --



ComplianceMAX Financial Has Offices

Needs data

ComplianceMAX Financial Offices



2017 2018

Source: Tiburon Research & Analysis

Needs data

ComplianceMAX Financial Employees



2017

2018

Needs data

ComplianceMAX Financial Clients (Millions)



2017

2018

ComplianceMAX Financial Generates Revenues

Needs data

ComplianceMAX Financial Revenues (\$ Millions)

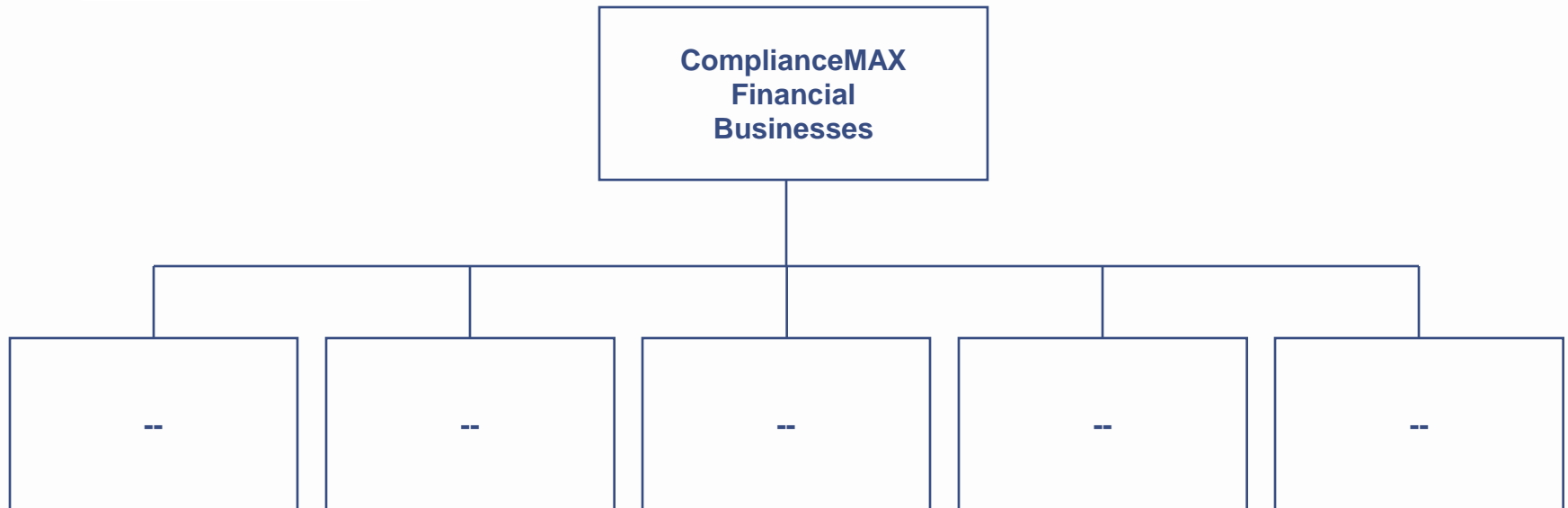


2017

2018

ComplianceMAX Financial Has a Number of Businesses, Including...

ComplianceMAX Financial Businesses



ComplianceMAX Financial's First Businesses is ____



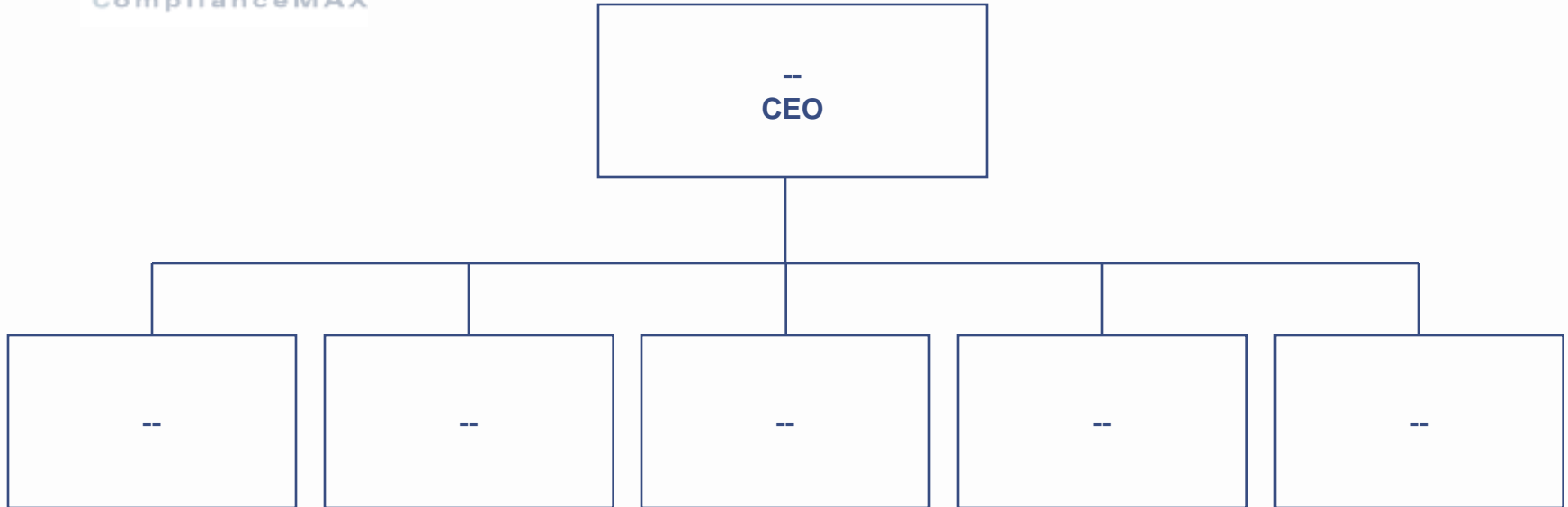
Comments
<ul style="list-style-type: none"><li data-bbox="666 406 743 421">• --

ComplianceMAX Financial's Second Businesses is ____




Comments
<ul style="list-style-type: none"><li data-bbox="666 406 743 421">• --

ComplianceMAX Financial Organizational Chart



ComplianceMAX Financial Has a Board of Directors Led by its Chairman...

ComplianceMAX Financial Board of Directors

-- Chairman	--	--	--	--
• --	• --	• --	• --	• -- 



Comments

- --

Needs data

ComplianceMAX Financial Assets (\$ Millions)



2017

2018

ComplianceMAX Financial Has Liabilities

Needs data

ComplianceMAX Financial Liabilities (\$ Millions)



2017

2018

Needs data

ComplianceMAX Financial Shareholders Equity (\$ Millions)



2017

2018

ComplianceMAX Financial Earns ... Per Share

Needs data

ComplianceMAX Financial Earnings Per Share



2017

2018

ComplianceMAX Financial Declares ... Dividends Per Share

Needs data

ComplianceMAX Financial Dividends Per Share



2017

2018

Needs data

ComplianceMAX Financial Dividend Yield



2017

2018

ComplianceMAX Financial's Stock Price is ...

Needs data

ComplianceMAX Financial Stock Price



2017

2018

ComplianceMAX Financial Has ... Shares Outstanding

Needs data

ComplianceMAX Financial Shares Outstanding



2017

2018

ComplianceMAX Financial's Market Capitalization is ...

Needs data

ComplianceMAX Financial Market Capitalization (\$ Millions)

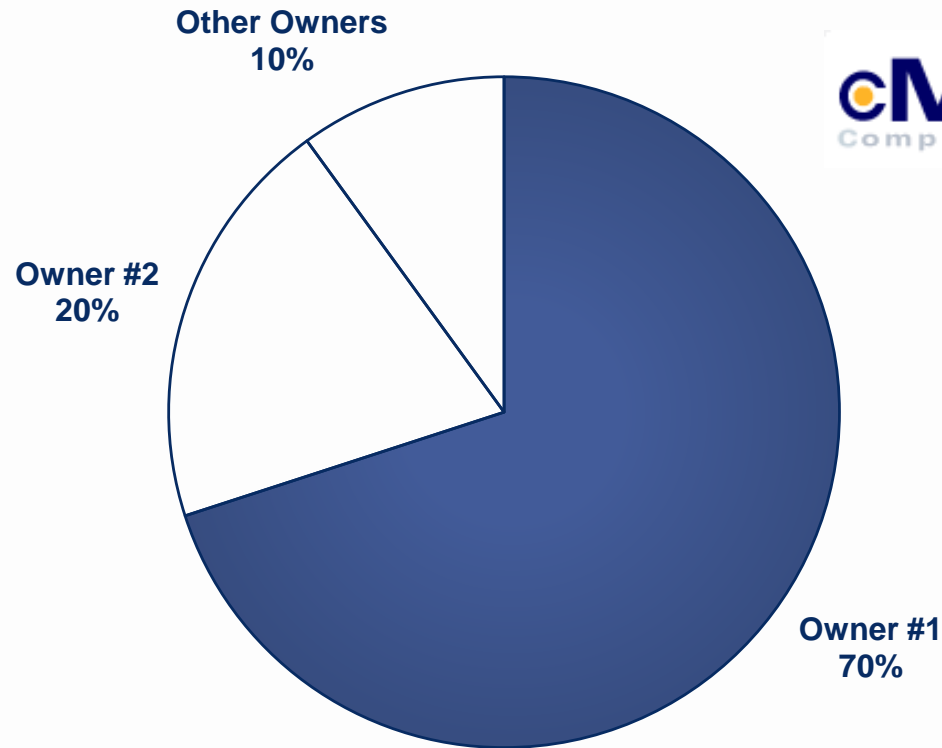


2017

2018

Needs real data

ComplianceMAX Financial Ownership By Owner



ComplianceMAX Financial's Stock Volume is....

Needs data

ComplianceMAX Financial Stock Volume



2017

2018



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