

Financial Institutions Research Series

Financial Advisor Channels: An Overview of Full-Service Brokerage Firms, Independent Advisors, & Other Financial Advisor Channels

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- Market Definition
- Market Growth.....
- Firms.....
- Offices.....
- Employees
- Relationship Managers.....
- Clients.....
- Assets Under Administration
- Assets Under Management
- Assets Custodied
- Revenues.....
- Net Profits
- Key Issues.....
- Leading Firms.....
- Offices.....
- Employees
- Relationship Managers.....
- Clients.....
- Assets Under Administration
- Assets Under Management
- Assets Custodied
- Revenues.....
- Net Profits
- Market Segmentation
- Key Service Providers to Upscale Channels Firms
- Custodians, Independent Broker/Dealers, & Clearing Brokers.....
- Custodians
- Independent Broker/Dealers.....
- Clearing Brokers.....
- Mutual Fund Companies & Other Traditional Product Companies
- Mutual Fund Companies
- Other Traditional Product Companies.....
- Fee-Accounts, TAMPs, & Separate Account Managers.....
- Fee-Accounts
- TAMPs
- Separate Account Managers
- Exchange Traded Funds, Hedge Funds, & Other Investment Product Companies ..
- Exchange Traded Funds
- Hedge Funds.....

- Other Investment Product Companies.....
- Wealth Management & Family Office Service Product Companies.....
 - Wealth Management Companies.....
 - Family Office Service Product Companies.....
- Technology Companies & Other Key Vendors to Upscale Channels.....
 - Technology Companies.....
 - Other Key Vendors.....
- Future Predictions for the Upscale Channels Business.....
 - Increasing Growth in the Upscale Channels Industry.....
 - Continuing Objective to Deliver Comprehensive Offers.....
 - Increasing Use of Alternative Investments.....
 - Continuing Search for the Ultimate Technology Solution.....
 - Increasing Industry Consolidation & Collapse of Traditional Industry Silos.....

Future Predictions of Financial Advisor Channels

- Break-Away Broker Trend to Gain Momentum.....
- Independent Reps & Fee-Based Financial Advisors to Gain Share.....
- Increased Opportunity for Discount Brokerage Firms to Fulfill Self-Serve Needs.....