

*Financial Institutions Profiles Series*

Legg Mason:  
A Diversified Group of Global Investment Management Firms

---

(Table of Contents)



*April 17, 2016*

## **TABLE OF CONTENTS**

History.....	
Emergence Phase .....	
Early Acquisitions Phase .....	
Mid-Cycle Acquisitions Phase.....	
Business Streamlining Phase .....	
Activist Investor Phase .....	
Statistics.....	
Offices .....	
Employees .....	
Asset Under Management .....	
Net Flows .....	
Revenues .....	
Operating Revenues .....	
Operating Expenses (Including Impairment) .....	
Impairment of Intangible Assets & Goodwill .....	
Operating Income .....	
Other Net Non-Operating Expenses .....	
Other Non-Operating Income .....	
Fund Support .....	
Income Before Income Taxes .....	
Income Tax Provision .....	
Net Profits.....	
Adjusted Income.....	
Businesses.....	
Legg Mason Capital Management .....	
Statistics .....	
Employees .....	
Asset Under Management .....	
Net Flows .....	
Revenues .....	
Net Profits.....	
Services .....	
Service One .....	
Service Two .....	
Brandywine Asset Management .....	
Statistics .....	
Employees .....	
Asset Under Management .....	
Net Flows .....	
Revenues .....	
Net Profits.....	
Services .....	
Service One .....	
Service Two .....	
ClearBridge Investments.....	
Statistics .....	
Employees .....	
Asset Under Management .....	

	Net Flows .....
	Revenues .....
	Net Profits.....
Businesses.....	
	Citibank Global Asset Management.....
	Smith Barney Asset Management.....
	Salomon Brothers Asset Management .....
Martin Currie.....	
	Statistics .....
	Employees .....
	Asset Under Management .....
	Net Flows .....
	Revenues .....
	Net Profits.....
	Services .....
	Service One .....
	Service Two .....
Permal.....	
	Statistics .....
	Employees .....
	Asset Under Management.....
	Net Flows .....
	Revenues .....
	Net Profits .....
	Services.....
	Service One .....
	Service Two .....
QS Investors.....	
	Statistics .....
	Employees .....
	Asset Under Management .....
	Net Flows .....
	Revenues .....
	Net Profits.....
	Services .....
	Service One .....
	Service Two .....
Royce & Associates.....	
	Statistics .....
	Employees .....
	Asset Under Management.....
	Net Flows .....
	Revenues .....
	Net Profits .....
	Services.....
	Service One .....
	Service Two .....
Western Asset Management.....	
	Statistics .....
	Employees .....
	Asset Under Management.....

	Net Flows .....
	Revenues .....
	Net Profits .....
	Services.....
	Service One .....
	Service Two .....
Organization & Ownership.....	
Organizational Structure .....	
Organizational Chart .....	
Board of Directors .....	
Board of Directors Committees .....	
Ownership .....	
Publicly Owned .....	
Assets .....	
Liabilities .....	
Shareholders Equity.....	
Earnings Per Share.....	
Dividends Per Share .....	
Dividend Yield .....	
Stock Price.....	
Shares Outstanding .....	
Market Capitalization.....	
Stock Volume.....	